

# Polynomial kernels for hitting forbidden minors using constant treedepth modulators

Astrid Pieterse

Computations on Networks with a Tree-Structure:  
From Theory to Practice

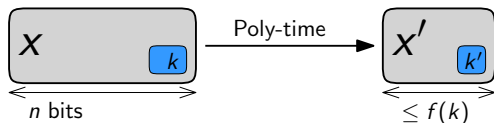
joint work with Bart M. P. Jansen

September 13, 2018

# Polynomial-time preprocessing

A **kernelization** for a parameterized problem is a **polynomial-time** algorithm that transforms input  $(x, k)$  to  $(x', k')$  such that

- ▶  $(x, k)$  is a yes-instance if and only if  $(x', k')$  is a yes instance
- ▶  $|x'| \leq f(k)$  and  $k' \leq f(k)$



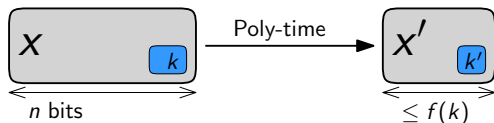
Goal

- ▶ Obtain **polynomial kernels** for a wide variety of problems
- ▶ Small parameter  $k$

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# Parameterizations

One of the most common parameterizations: **solution size**

- ▶ Good when large input asks for small solution
- ▶ Does **not** give good bounds when **solution size  $\approx$  input size**

Focus on **structural parameters** instead

- ▶ Good when input has simple structure

# Meta theorems

## Courcelle's Theorem

All problems that can be expressed in MSOL on graphs, can be solved in linear time on graphs of bounded treewidth

Obtain similar results for kernelization?

- ▶ DOMINATING SET has no polynomial kernel when parameterized by VERTEX COVER [Dom et al. ICALP'09]
  - ▶ Large structural parameter
- ▶ But is easy to express in most types of logic

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# $\mathcal{F}$ -MINOR-FREE DELETION

Let  $\mathcal{F}$  be a set of **connected** graphs

## Definition

**Input** A graph  $G$  and integer  $k$

**Question** Does there exist  $S \subseteq V(G)$  with  $|S| \leq k$ , such that no graph in  $\mathcal{F}$  is a **minor** of  $G - S$ ?

We sometimes say  $S$  **breaks**  $\mathcal{F}$

Generalizes many problems

▶  $\mathcal{F} = \{ \text{---} \}$ : VERTEX COVER

▶  $\mathcal{F} = \{ \triangle \}$ : FEEDBACK VERTEX SET

▶  $\mathcal{F} = \{ \text{---}, \text{---} \}$ : Making a graph planar by vertex-deletions

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# Structural parameters

Many problems are easy for some **simple** graph class  $\mathcal{G}$

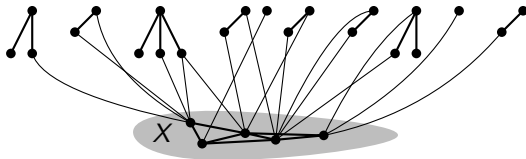
- ▶ Trees, cliques, paths, independent sets, forests, ...

**Treewidth**, pathwidth, cliquewidth, ...

- ▶ VERTEX COVER has no polynomial kernel [Bodlaender et.al. 2009]

Number of vertices we need to remove until  $G \in \mathcal{G}$

- ▶ Sometimes allows for polynomial kernels
- ▶ Also called a **modulator**



## Parameter: modulator to constant treedepth

$X$  is a treedepth- $\eta$  modulator when  $\text{td}(G - X) \leq \eta$

- ▶  $\eta$  is considered a fixed constant

Parameter:  $|X|$  for optimal  $X$

$\mathcal{F}$ -MINOR-FREE DELETION is **easy** on graphs of **constant treedepth**

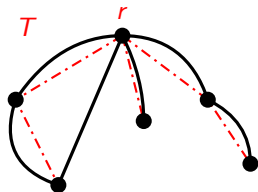
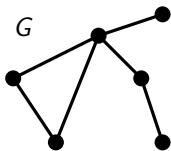
- ▶ Polynomial-time solvable

# Treewidth

$td(G)$  is the minimum depth of any **treedepth decomposition**

- ▶ Tree  $T$  on all vertices of  $G$
- ▶ Any edge in  $G$  is between children/ancestors in  $T$

Example of treedepth 3



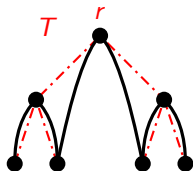
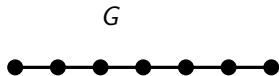
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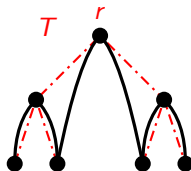
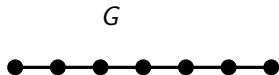
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## Previous work

Parameterized by **treewidth**- $\eta$  modulator [Jansen,Bodlaender STACS'11]

- ▶ Polynomial kernel for VERTEX COVER for  $\eta = 1$
- ▶ No polynomial kernel for VERTEX COVER for  $\eta \geq 2$

Parameterized by **treedepth**- $\eta$  modulator

- ▶ Polynomial kernel for VERTEX COVER [Bougeret,Sau IPEC'17]
  - ▶ Kernelization of FEEDBACK VERTEX SET left open

# Results

Let  $\mathcal{F}$  be a set of connected graphs

## Theorem

$\mathcal{F}$ -MINOR-FREE DELETION has a polynomial kernel parameterized by a treedepth- $\eta$  modulator

- ▶ Kernel of size  $O(|X|^{g(\eta, \mathcal{F})})$  for some function  $g$
- ▶ Resolves the question about FVS

## Lower bound

VERTEX COVER parameterized by a treedepth- $\eta$  modulator has no kernel of size  $O(|X|^{2^{\eta-4}-\epsilon})$ , unless  $NP \subseteq coNP/poly$

- ▶  $g$  is exponential in  $\eta$ , and this cannot be avoided

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# Kernel for $\mathcal{F}$ -Minor-Free Deletion

## Kernel: main ingredients

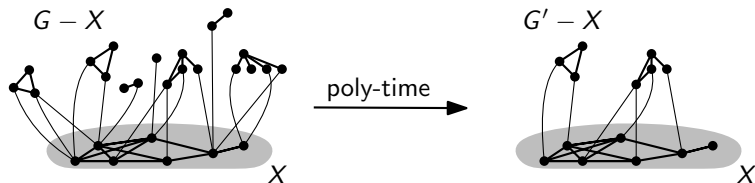
Given  $G$  with modulator  $X$

- ▶ Reduce the number of connected components of  $G - X$
- ▶ Apply induction on  $\eta$  to obtain kernel

### Lemma

There is a polynomial-time algorithm that transforms  $G$  into induced subgraph  $G'$ , and returns an integer  $\Delta$  such that

- ▶  $\text{opt}(G') + \Delta = \text{opt}(G)$
- ▶  $G' - X$  has at most  $|X|^{O(1)}$  connected components



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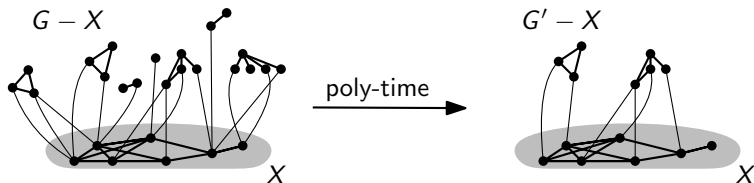
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## Kernel: using Lemma

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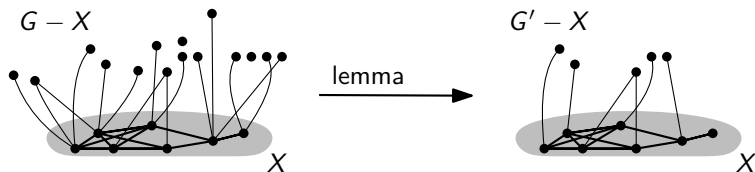
### Theorem

$\mathcal{F}$ -MINOR-FREE DELETION has a **polynomial kernel** parameterized by a treedepth- $\eta$  modulator

Base case:  $\eta = 1$

Every connected component of  $G - X$  is a single vertex

- ▶ Given  $G$  and budget  $k$ , apply lemma to obtain  $G'$  and  $\Delta$
- ▶ Let the kernel be  $G'$  with budget  $k - \Delta$
- ▶  $G'$  has at most  $|X| + |X|^{O(1)} = |X|^{O(1)}$  vertices

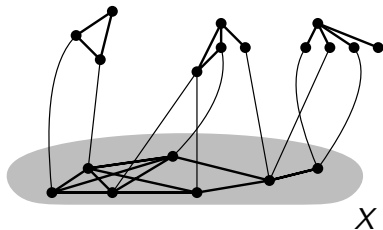


# Kernel: using Lemma

Step:  $\eta > 1$

Apply lemma, find  $G'$  with only few components in  $G' - X$

- ▶ For each component of  $G' - X$ , select root vertex  $r$
- ▶ Can be found efficiently

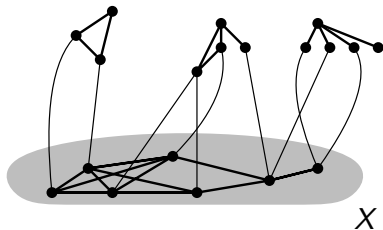


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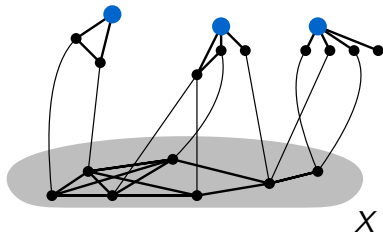


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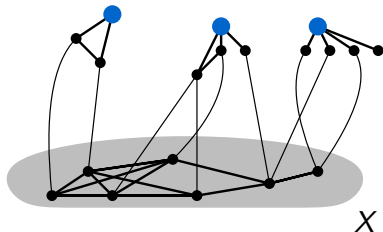


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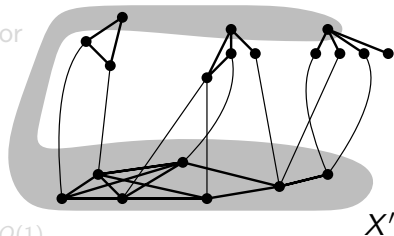
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Now  $X'$  is a treedepth- $(\eta - 1)$  modulator

- ▶  $|X'| = |X|^{O(1)}$

Apply IH with  $X'$

- ▶ Gives kernel of size  $|X'|^{O(1)} = |X|^{O(1)}$



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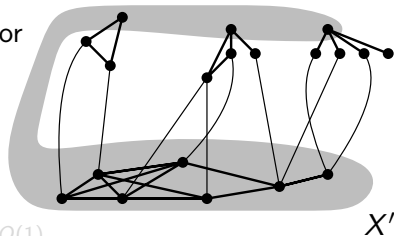
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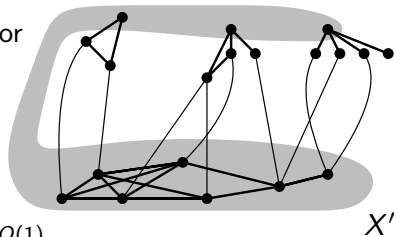
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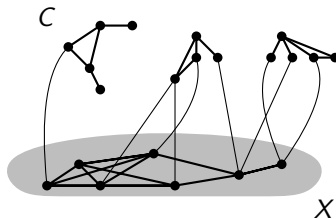
# Towards a proof sketch of the Lemma

Example:  $\mathcal{F} = \{K_3\}$ .

- ▶ FEEDBACK VERTEX SET (abbreviated as FVS)

Try to remove connected components of  $G - X$

- ▶ Exists an FVS in  $G[C]$  disconnecting  $C$  from  $X$ 
  - ▶ Remove  $C$
  - ▶ Reduce budget by  $\text{opt}(C)$



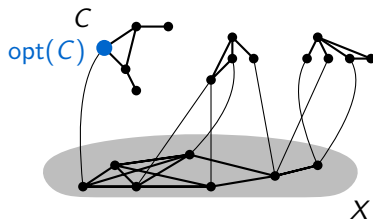
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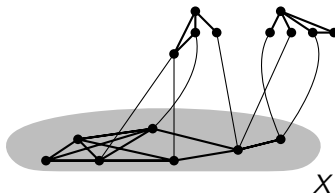
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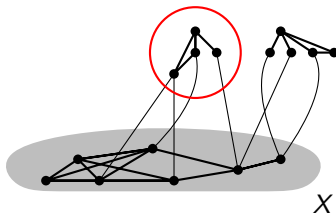
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## Solutions in $G$ : property

Let  $S$  be an **optimal** Feedback Vertex Set in  $G$

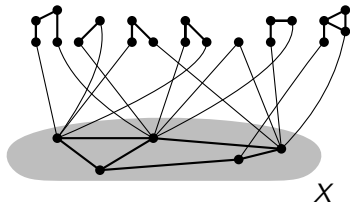
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There exist  $\leq |X|$  components  $C$  in  $G - X$  such that

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Any optimal FVS is **locally optimal** for most components!



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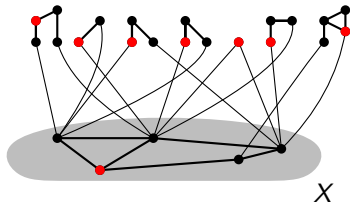
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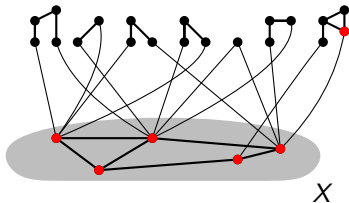
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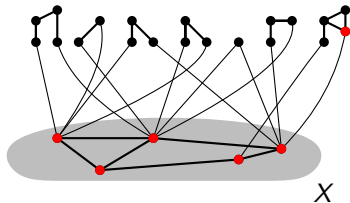
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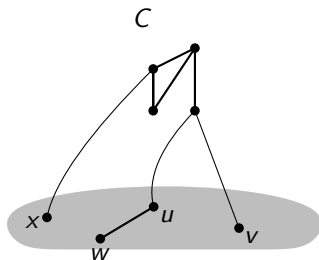


## Removing components of $G - X$

Consider which  $x \rightsquigarrow x'$ -connections are made by  $C$  for  $x, x' \in X$

- ▶ After removing some optimal FVS

No optimal FVS breaks  $u \rightsquigarrow v$  in  $C$

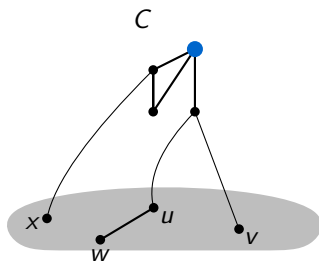


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Some optimal FVS breaks  $x \rightsquigarrow v$  and  $x \rightsquigarrow u$  in  $C$

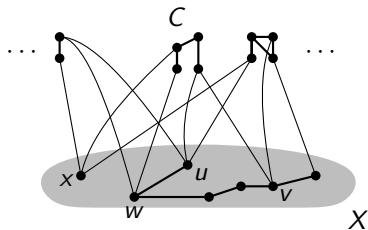


# Removing components of $G - X$

Suppose  $\text{opt}(C)$  never breaks  $u \rightsquigarrow v, v \rightsquigarrow w, u \rightsquigarrow w, w \rightsquigarrow x, \dots$

- ▶ Select a number of representative components
  - ▶ Mark  $|X|^c$  other components that do not break  $u \rightsquigarrow v$
  - ▶ Mark  $|X|^c$  other components that do not break  $v \rightsquigarrow w$
  - ▶ ...
- ▶ Remove  $C$ , decrease budget by  $\text{opt}(C)$

$G$

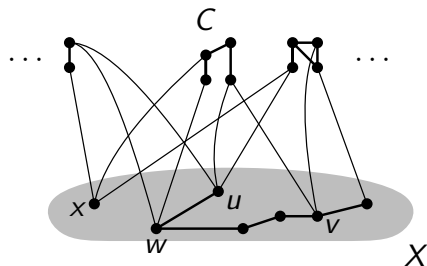




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Suppose  $C$  was removed by this rule

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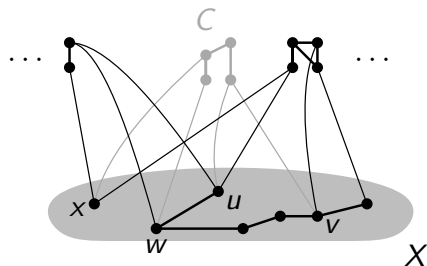


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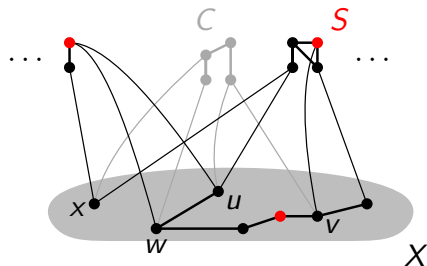
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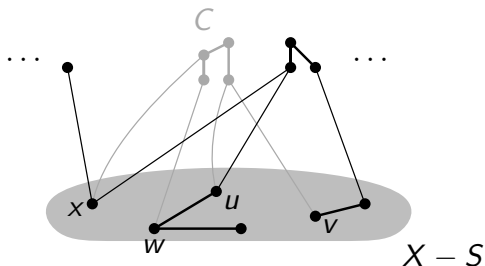


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$G' - S$

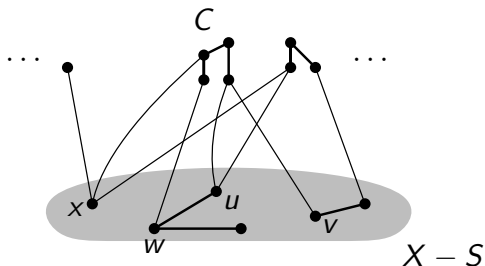


## Removing components of $G - X$

Suppose  $C$  was removed by this rule

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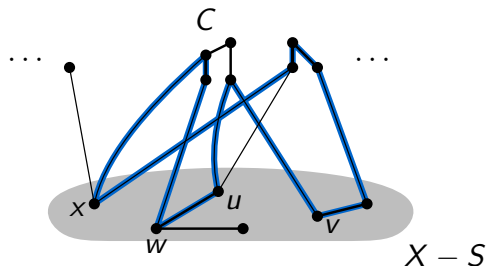


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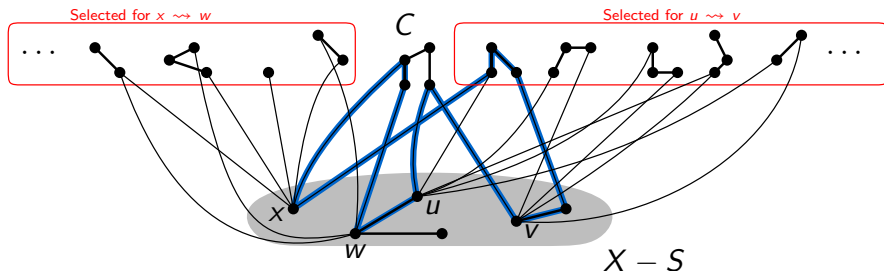


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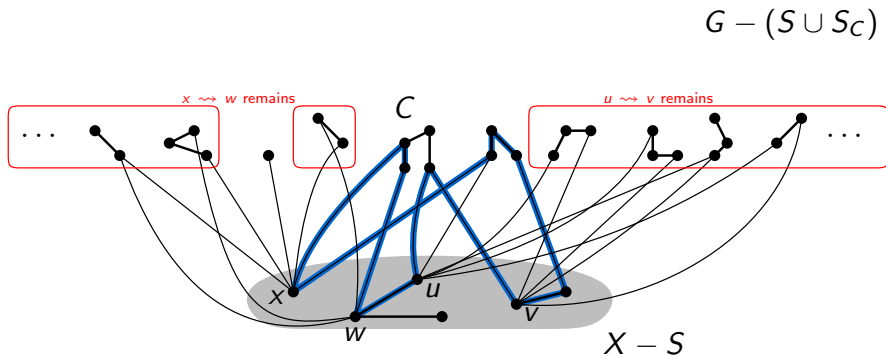
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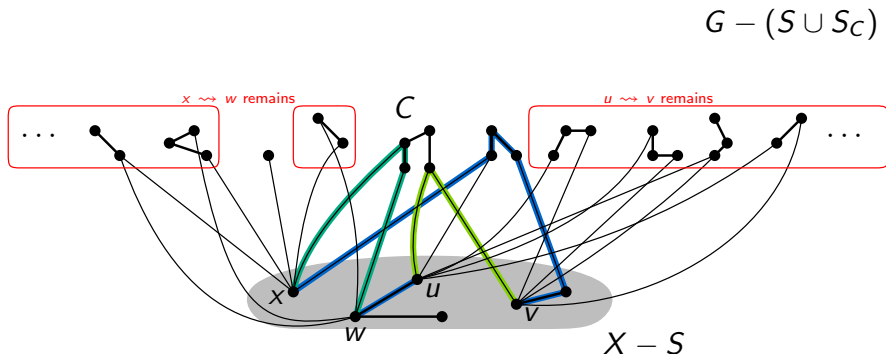




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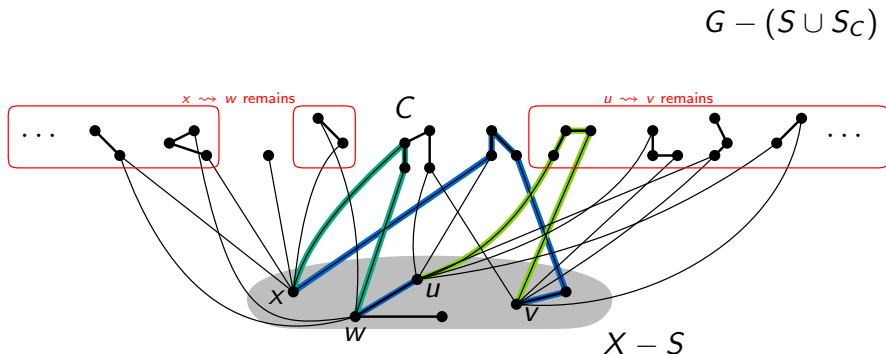
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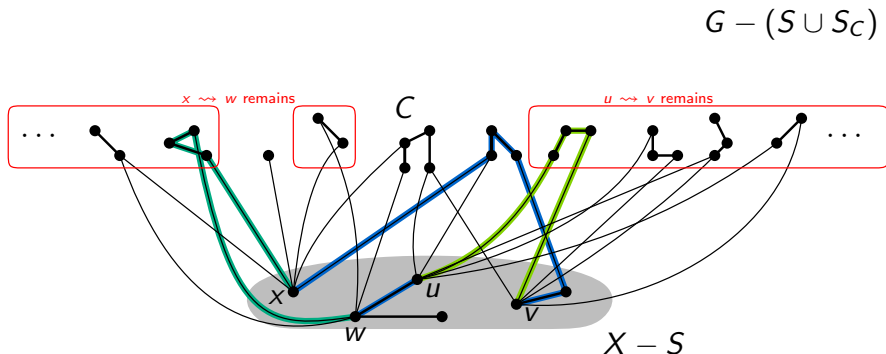
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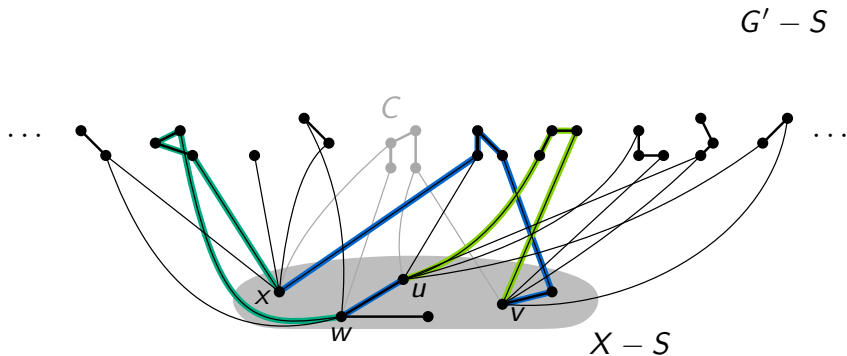
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## Removing components of $G - X$ : rules so far

Cases we handled

- ▶ Some optimal FVS in  $C$  breaks all connections of  $C$  to  $X$
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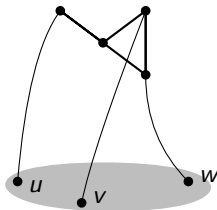
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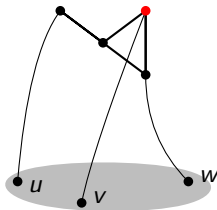
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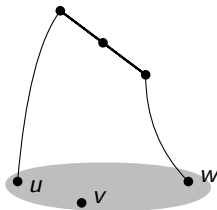


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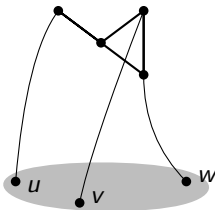
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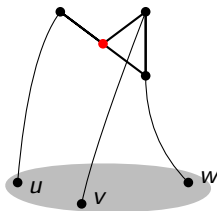
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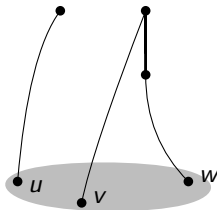
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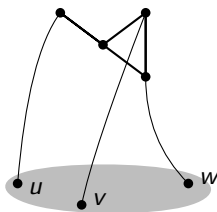
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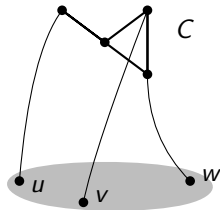


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# Classifying components

All relevant information about  $C$ :

$L =$	broken by optimal FVS in $C$ ?
$\{u \rightsquigarrow v\}$	yes
$\{u \rightsquigarrow v, u \rightsquigarrow w\}$	yes
$\{u \rightsquigarrow v, u \rightsquigarrow w, v \rightsquigarrow w\}$	no
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## Towards a reduction rule

Behaviour of components described sets  $L$  that cannot be broken

- ▶ For each  $L$ , mark  $\text{poly}(|X|)$  representative components
- ▶ Remove unmarked components

But there are many sets to consider

- ▶ Number of subsets of  $X \times X$
- ▶ Exponentially many such sets

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Let  $L$  be a set of pairs from  $X$ , let  $C$  be a graph of constant treedepth. If no optimal FVS in  $C$  breaks the connections between all pairs in  $L$ , then there exists  $L' \subseteq L$  such that

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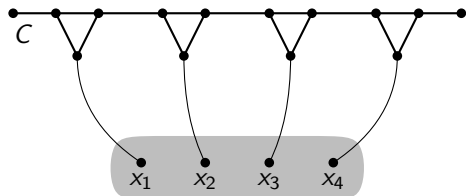
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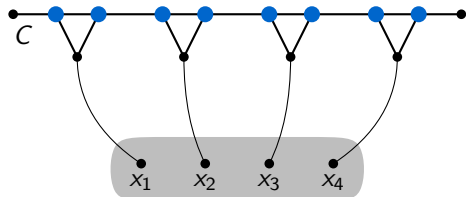
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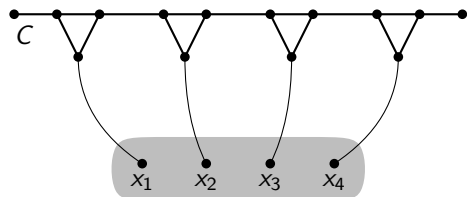
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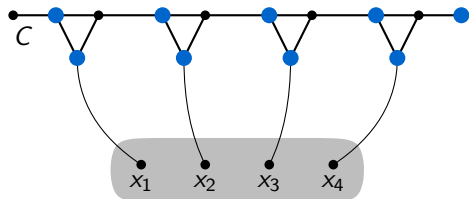
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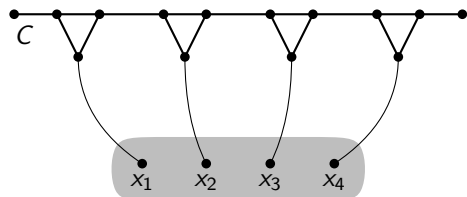
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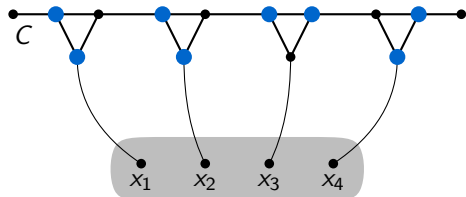
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$\mathcal{F}$ -MINOR-FREE DELETION parameterized by a treedepth- $\eta$  modulator has a polynomial kernel

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## Future work

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